

policyIQ

A GRC Platform Designed for *YOU*.

policyIQ is RGP's highly adaptable Governance, Risk and Compliance (GRC) platform that your team can leverage for multiple policy, compliance, audit, and content management initiatives. You can be up and running in days, with all of your GRC initiatives coordinated in one cost-effective platform.



policyIQ is designed to meet your unique needs. With simple, straightforward configuration tools, policyIQ is highly customizable to support your process. Use it for a single part of your GRC program, or leverage the platform for multiple policy, compliance, and audit initiatives with no additional modules to purchase.

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GRC technology as
unique as you are



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Testing Status Risks By Severity

Anti-Corruption Policy - Google Chrome

Print Preview Email History

Anti-Corruption Policy

Template: Policy Stage: Draft - Checked Out To Me

Version: 26 (10/23/2019) Exp Date:

Content Attachments/Links (5) Security Folders

Policy Owner: Legal Dir.
Effective Date: 4/1/2019
Summary Statement:

The laws of most countries make the payment or offer of payment bribe, kickback or other corrupt payment a crime, subjecting both individual employees to fines and/or imprisonment. These anti-corruption laws, including the (US FCPA), make it a crime to pay, offer, or give any foreign governmental officials, a foreign political party (or official thereof) for foreign office, for the purpose of influencing the acts or decisions of such officials or parties.

Policy:

1. Bribes, Kickbacks or Other Corrupt Payments

This prohibition applies:

PIT C018: Cash Reconciliations - Google Chrome

Save Stage Email History Change Approval Workflow Time/Expense Print Preview Help Cancel

PIT C018: Cash Reconciliations

Template: Test Stage: Draft - Checked Out To Me

Version: 2 (Pending) Due Date:

Content * Attachments/Links (5) Security Folders Alerts (2) Comments

* Required field

Control Description:

OTC-C018: Cash Reconciliations

The Staff Accountants perform Quarterly bank reconciliations for operating cash accounts which are reviewed by the Accounting Manager.

Testing Status: Failed

Location of Testing: Japan

Tester: Johns, Scott

Test Year: * 2018

Test to be Completed By: 7/18/2018

Test Steps:

1. Request copies of cash reconciliation reports from accounting manager. (Evidence request can be issued directly through policyIQ.)

2. Review every report for the following:

A. - Was the account reconciled?

B. - Was the account reconciled within 15 days of the month-end close?

C. - Was the reconciliation evidence and paperwork provided (or referenced) within the report?

Number of Exceptions: * 3

Test Result Comments: *

Provide results for all samples:

Sample	A	B	C	D	E	F
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Schedule a demonstration or begin your free 30-day trial site today!

Are you ready to take a look at how policyIQ can make your compliance program more efficient, more accurate and more robust? Give us a call and let us show you. We can even set up a trial site for you - with a sample of your data - for you to try.

www.policyIQ.com

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