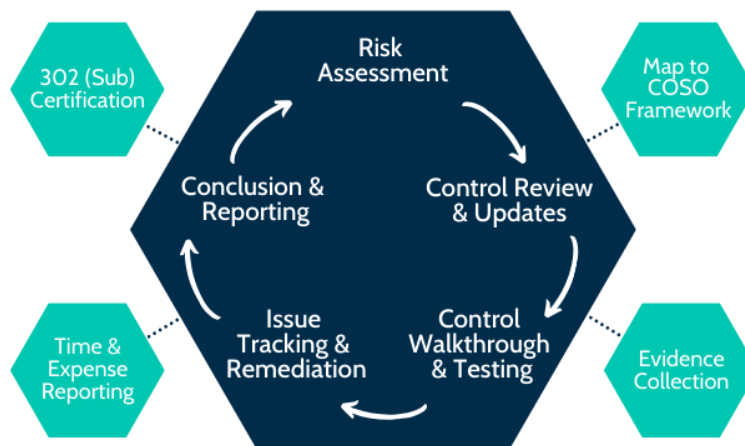


policyIQ

Sarbanes-Oxley (SOX) Compliance

Take the stress out of SOX compliance with policyIQ, by simplifying oversight and maximizing efficiency. From the risk assessment through deficiency remediation, policyIQ has you covered.



Risk, Controls,
Audit Testing,
Evidence Requests,
Attestations,
Certifications, and
Remediation -
All in ONE Place

With policyIQ you can...

- Save time and reduce error by updating a control just once, with changes flowing through all reports and views
- Gain oversight with customized dashboards to see progress and results in real time
- Collect audit evidence by issuing requests for documentation in advance
- Automate control attestations, reviews, and 302 sub-certification processes with simple electronic forms
- Implement automated workflows to route changes or escalate issues

policyIQ is designed with *you* in mind. Our simple configuration tools allow you to quickly customize policyIQ to support *your* process, and the application is flexible enough to meet the unique needs of your organization.

Whether you are a small business or a large corporate enterprise, policyIQ offers solutions that will work for you.

policyIQ

GRC technology as *unique* as you are

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- Implement automated workflows to route changes or escalate issues
- Create stronger controls by utilizing the data capture and workflow as a part of control performance

The image shows two screenshots from the policyIQ application. The left screenshot is a dashboard with four charts: 'Testing Status' (a pie chart with categories: Not Yet Tested, Passed, Failed, In Progress, Not In Scope), 'Risks By Severity' (a pie chart with categories: Moderate, High, Low), 'Evidence Request Status' (a pie chart with categories: Not Yet Provided, Reviewed, Submitted), and 'Favorite Reports' (a list of reports with checkboxes). The right screenshot is a detailed view of a control titled 'OTC-C018: Cash Reconciliations'. It shows metadata like 'Template: Control', 'Version: 1 (10/16/2019)', and 'Stage: Published'. Below this, there are tabs for 'Content', 'Attachments/Links (6)', 'Security', 'Folders', and 'Alerts (1)'. The 'Content' tab is active, showing a 'SOX (Financial) Risk' section with a description: 'Account Receivables are not properly valued at the balanced sheet.' and 'Cash and liabilities are not accurately recorded in the proper accounting period.' It also lists 'Reference Number: OTC-C018', 'Control Description', 'Fraud Mitigating?', 'Significance', 'Frequency', 'Preventive or Detective', 'Manual or Automated', 'Control Owner', 'Process Owner', 'In Scope?', and 'Relevant Compliance Area'.

Schedule a demonstration or begin your free 30-day trial site today!

Are you ready to take a look at how policyIQ can make your compliance program more efficient, more accurate and more robust? Give us a call and let us show you. We can even set up a trial site for you - with a sample of your data - for you to try.

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